

CS 4410

# Automata, Computability, and Formal Language

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# Chapter 2

## Finite Automata

1. Deterministic Finite Accepters
  - Deterministic Accepters and Transition Graphs
  - Languages and Dfas
  - Regular Language
2. Nondeterministic Finite Accepters
  - Definition of a Nondeterministic Acceptor
  - Why Nondeterministic
3. Equivalence of Deterministic and Nondeterministic Finite Accepters

# Learning Objectives

*At the conclusion of the chapter, the student will be able to:*

- Describe the components of a deterministic finite accepter (dfa)
- State whether an input string is accepted by a dfa
- Describe the language accepted by a dfa
- Construct a dfa to accept a specific language
- Show that a particular language is regular
- Describe the differences between deterministic and nondeterministic finite automata (nfa)
- State whether an input string is accepted by a nfa
- Construct a nfa to accept a specific language
- Transform an arbitrary nfa to an equivalent dfa

# Nondeterministic Finite Accepters

An automaton is nondeterministic if it has a choice of actions for given conditions

## Definition 2.4

A **nondeterministic finite accepter** or **nfa** is defined by the quintuple

$$M = (Q, \Sigma, \delta, q_0, F)$$

where  $Q$ ,  $\Sigma$ ,  $q_0$ , and  $F$  are as for deterministic finite accepter, but

$$\delta: Q \times (\Sigma \cup \{\lambda\}) \rightarrow 2^Q$$

Basic differences between deterministic and nondeterministic finite automata:

- In an nfa, a (state, symbol) combination may lead to several states simultaneously
- If a transition is labeled with the empty string as its input symbol, the nfa may change states without consuming input
- An nfa may have undefined transitions

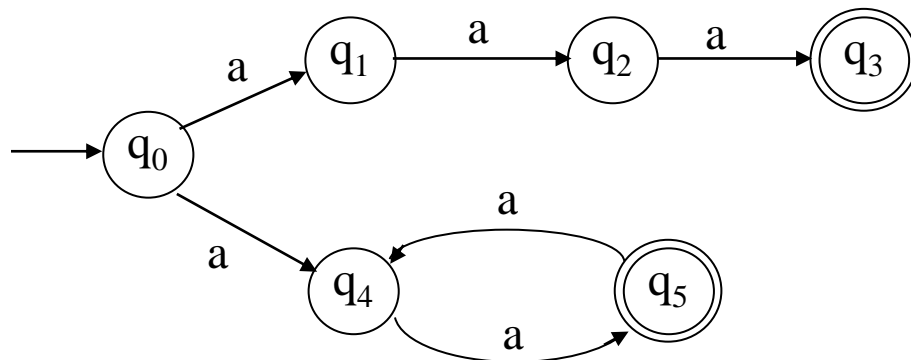
# Nondeterministic Finite Accepters

**Transition Graph** of an **nfa**  $M = (Q, \Sigma, \delta, q_0, F)$

**Vertex** labeled with  $q_i$ : state  $q_i \in Q$ ,

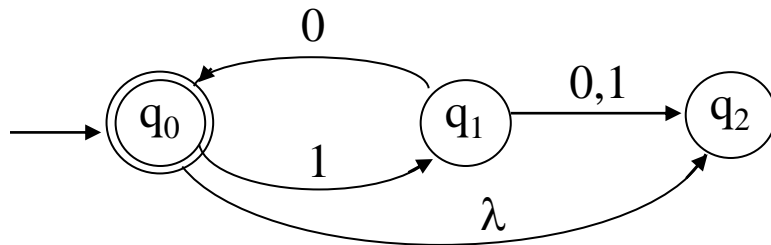
**Edge** from  $q_i$  to  $q_j$  labeled with  $a$ :  $q_j \in \delta(q_i, a)$

**Example 2.7:** An nfa is shown as below in Figure 2.8



# Nondeterministic Finite Accepters

**Example 2.8** An nfa is shown in Figure 2.9 as below



The **extended transition function**  $\delta^* : Q \times \Sigma^* \rightarrow 2^Q$  could be defined by

$$\delta^*(q, \lambda) = \{q\} \cup \delta(q, \lambda)$$

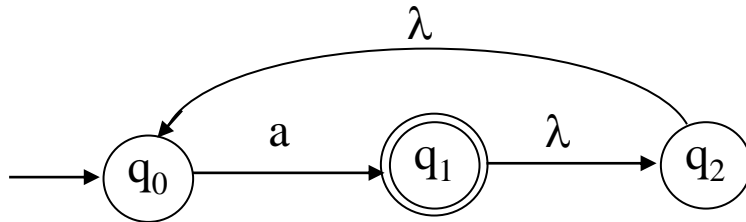
$$\delta^*(q, wa) = \cup \{\delta(p, a) : p \in \delta^*(q, w)\}$$

**Definition 2.5** (This could a theorem if the above definition is used)

For an nfa, **the extended transition function** is defined so that  $\delta^*(q_i, w)$  contains  $q_j$  if and only if there is a walk in the transition graph from  $q_i$  to  $q_j$  labeled  $w$ . This holds for all  $q_i, q_j \in Q$  and  $w \in \Sigma^*$ .

# Nondeterministic Finite Accepters

**Example 2.9** Consider an nfa in Figure 2.10, we have



$$\delta^*(q_1, a) = \{q_0, q_1, q_2\}$$

$$\delta^*(q_2, \lambda) = \{q_0, q_2\}$$

$$\delta^*(q_2, aa) = \{q_0, q_1, q_2\}$$

$$\delta^*(q, \lambda) = \{q\} \cup \delta(q, \lambda)$$

$$\delta^*(q, wa) = \cup\{\delta(p, a) : p \in \delta^*(q, w)\}$$

# Nondeterministic Finite Accepters

## Definition 2.6

The language accepted by an nfa  $M = (Q, \Sigma, \delta, q_0, F)$  is the set of all strings on  $\Sigma$  accepted by  $M$ . In formal notation

$$L(M) = \{w \in \Sigma^* : \delta^*(q_0, w) \cap F \neq \emptyset\}.$$

**Example 2.10** What is the language accepted by the nfa in Example 2.8

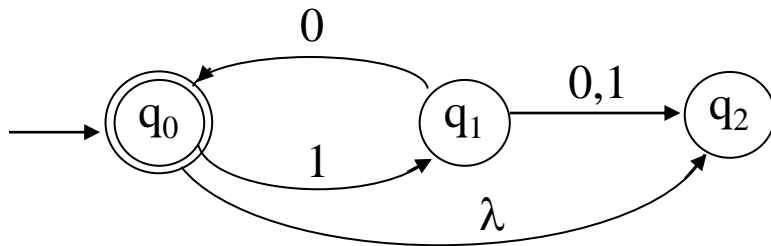


Figure 2.9

**Why Nondeterminism?**



# Equivalence of Deterministic and Nondeterministic Finite Accepters

## Definition 2.7

Two finite accepters  $M_1$  and  $M_2$  are said to be equivalent if

$$L(M_1) = L(M_2)$$

That is, if they accept the same language.

## Example 2.11

The dfa is equivalent to the nfa in Example 2.8

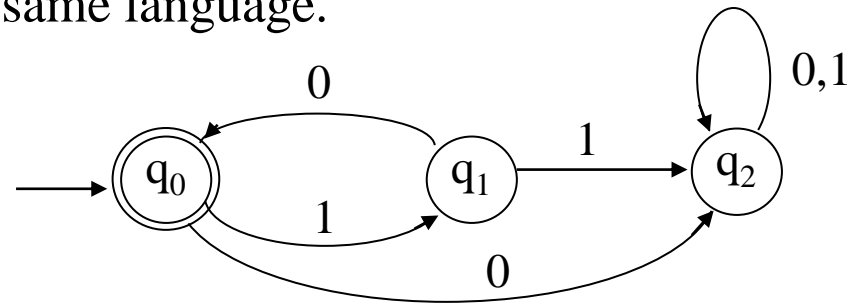


Figure 2.11

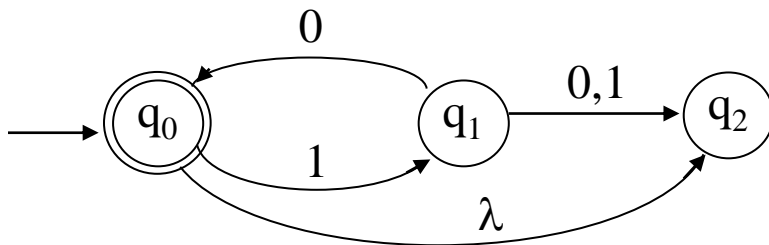


Figure 2.9

**Example 2.12**

Convert the nfa to an equivalent dfa

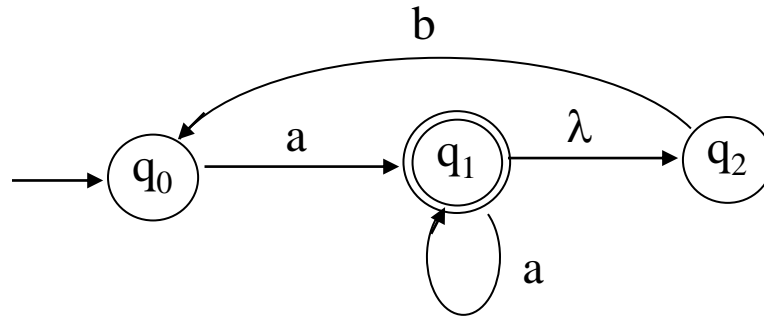


Figure 2.12

DFA state	a	b
{q <sub>0</sub> }	{q <sub>1</sub> , q <sub>2</sub> }	Φ
{q <sub>1</sub> , q <sub>2</sub> }	{q <sub>1</sub> , q <sub>2</sub> }	{q <sub>0</sub> }
Φ	Φ	Φ

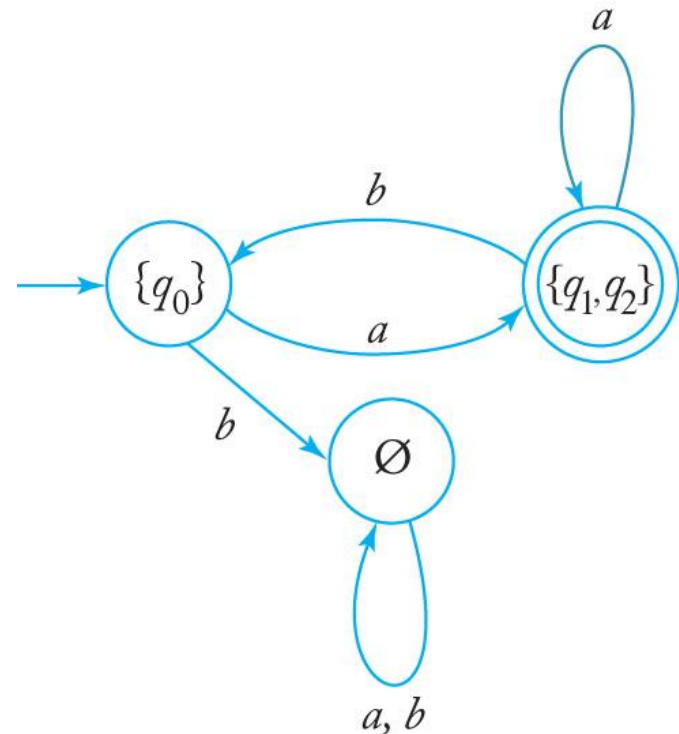


Figure 2.13

**Theorem 2.2** Let  $L$  be the language accepted by an nfa  $M_N = (Q_N, \Sigma, \delta_N, q_0, F_N)$ . Then there exists a dfa  $M_D = (Q_D, \Sigma, \delta_D, \{q_0\}, F_D)$  such that  $L = L(M_D)$ .

### Procedure: nfa-to-dfa

1. Create a graph  $G_D$  with vertex  $\{q_0\}$  as the initial vertex
2. Repeat until no more edges are missing
  - a. Take any vertex  $\{q_i, q_j, \dots, q_k\}$  of  $G_D$  that has no outgoing edge for some  $a \in \Sigma$ .
  - b. Compute  $\{q_l, q_m, \dots, q_n\} = \delta^*(q_i, a) \cup \delta^*(q_j, a) \cup \dots \cup \delta^*(q_k, a)$
  - c. Create a vertex for  $G_D$  labeled  $\{q_l, q_m, \dots, q_n\}$  if it does not already exist.
  - d. Add to  $G_D$  an edge from  $\{q_i, q_j, \dots, q_k\}$  to  $\{q_l, q_m, \dots, q_n\}$  and label it with  $a$
3. Every state of  $G_D$  whose label contains any  $q_f \in F_N$  is identified as a final vertex.
4. If  $M_N$  accepts  $\lambda$ , the vertex  $\{q_0\}$  in  $G_D$  is also made a final vertex.

**Property:** Every language accepted by an nfa is regular

### Example 2.13

Convert the nfa to an equivalent dfa

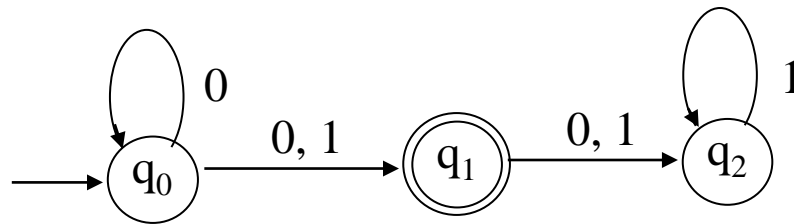


Figure 2.14

DFA state	0	1
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_1\}$
$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$	$\{q_1, q_2\}$
$\{q_1\}$	$\{q_2\}$	$\{q_2\}$
$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_1, q_2\}$
$\{q_1, q_2\}$	$\{q_2\}$	$\{q_2\}$
$\{q_2\}$	$\Phi$	$\{q_2\}$
$\Phi$	$\Phi$	$\Phi$

