

## Learning Objectives

*At the conclusion of the chapter, the student will be able to:*

- Explain and differentiate the concepts of computability and decidability
- Define the Turing machine halting problem
- Discuss the relationship between the halting problem and recursively enumerable languages
- Give examples of undecidable problems regarding Turing machines to which the halting problem can be reduced
- Give examples of undecidable problems regarding recursively enumerable languages
- Determine if there is a solution to an instance of the Post correspondence problem
- Give examples of undecidable problems regarding context-free languages

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## Computability and Decidability

- Are there questions which are clearly and precisely stated, yet have no algorithmic solution?
- As stated in chapter 9, a function  $f$  is *computable* if there exists a Turing machine that computes the value of  $f$  for all arguments in its domain
- Since there may be a Turing machine that can compute  $f$  for part of the domain, it is crucial to define the domain of  $f$  precisely
- The concept of decidability applies to computations that result in a “yes” or “no” answer: a problem is *decidable* if there exists a Turing machine that gives the correct answer for every instance in the domain

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## The Turing Machine Halting Problem

- The Turing machine *halting problem* can be stated as: Given the description of a Turing machine  $M$  and an input string  $w$ , does  $M$  perform a computation that eventually halts?
- The domain of the problem is the set of all Turing machines and all input strings  $w$
- Any attempts to simulate the computation on a universal Turing machine face the problem of not knowing if/when  $M$  has entered an infinite loop
- By Theorem 12.1, there does not exist any Turing machine that finds the correct answer in all instances; the halting problem is therefore undecidable

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### The Halting Problem and Recursively Enumerable Languages

- Theorem 12.2 states that, if the halting problem were decidable, then every recursively enumerable language would be recursive
- Assume that  $L$  is a recursively enumerable language and  $M$  is a Turing machine that accepts  $L$
- If  $H$  is a Turing machine that solves the halting problem, then we can apply  $H$  to the accepting machine  $M$ 
  - If  $H$  concludes that  $M$  does not halt, then by definition the input string is not in  $L$
  - If  $H$  concludes that  $M$  halts, then  $M$  will determine if the input string is in  $L$
- Consequently, we would have a membership algorithm for  $L$ , but we know that one does not exist for some recursively enumerable languages, therefore contradicting our assumption that  $H$  exists

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### Reducing One Undecidable Problem to Another

- A problem  $A$  is *reduced* to a problem  $B$  if the decidability of  $A$  follows from the decidability of  $B$
- An example is the *state-entry problem*: given any Turing machine  $M$  and string  $w$ , decide whether or not the state  $q$  is ever entered when  $M$  is applied to  $w$
- If we had an algorithm that solves the state-entry problem, it could be used to solve the halting problem
- However, because the halting problem is undecidable, the state-entry problem must also be undecidable

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### The Blank-Tape Halting Problem

- Given a Turing machine  $M$ , determine whether or not  $M$  halts if started with a blank tape
- To show that the problem is undecidable,
  - Given a machine  $M$  and input string  $w$ , construct from  $M$  a new machine  $M_w$  that starts with a blank tape, writes  $w$  on it, and acts like  $M$
  - Clearly,  $M_w$  will halt on a blank tape if and only if  $M$  halts on  $w$
  - If we start with  $M_w$  and apply the blank-tape halting problem algorithm to it, we would have an algorithm for the halting problem
  - Since the halting problem is known to be undecidable, the same must be true for the blank-tape version

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### The Undecidability of the Blank-Tape Halting Problem

- Figure 12.3 illustrates the process used to establish the result that the blank-tape halting problem is undecidable
- After  $M_w$  is built, the presumed blank-tape halting problem algorithm would be applied to  $M_w$  yielding an algorithm for the halting problem, which leads to a contradiction

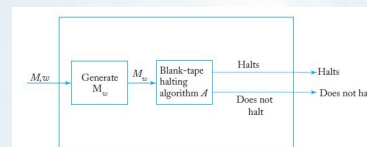


FIGURE 12.3 Algorithm for the halting problem.

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### Undecidable Problems for Recursively Enumerable Languages

- As illustrated before, there is no membership algorithm for recursively enumerable languages
- Recursively enumerable languages are so general that most related questions are undecidable
- Usually, there is a way to reduce the halting problem to questions regarding recursively enumerable languages, such as
  - Is the language generated by an unrestricted grammar empty?
  - Is the language accepted by a Turing machine finite?

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### Is the Language Generated by an Unrestricted Grammar Empty?

- Given an unrestricted grammar  $G$ , determine whether or not  $L(G)$  is empty
- To show that the problem is undecidable,
  - Given a Turing machine  $M$  and string  $w$ , modify  $M$  to create a new machine  $M_w$  so that  $M_w$  saves its input on a special part of its tape, and whenever it enters a final state, it accepts the input only if the input is equal to  $w$
  - Construct a grammar  $G_w$  that generates  $L(M_w)$
  - Since  $L(M_w) = L(M) \cap \{w\}$ ,  $L(G_w)$  is nonempty iff  $w \in L(M)$
  - Assuming there is an algorithm  $A$  for deciding whether or not an arbitrary  $L(G)$  is empty, we could apply it to  $G_w$ , which would give us a membership algorithm for any recursively enumerable language
  - But this contradicts previous results that have established there is no such membership algorithm

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### The Undecidability of the “ $L(G) = \emptyset$ ” Problem

- Figure 12.5 illustrates the process used to establish the result that the “ $L(G) = \emptyset$ ” problem is undecidable
- After  $G_w$  is built, the presumed emptiness algorithm  $A$  would be applied to  $G_w$  giving a membership algorithm for recursively enumerable languages, which is impossible

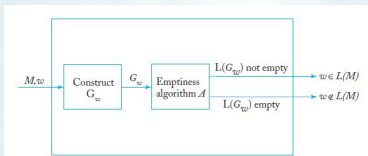


FIGURE 12.5 Membership algorithm.

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### Is the Language Accepted by a Turing Machine finite?

- Given a Turing machine  $M$ , determine whether or not  $L(M)$  is finite
- To show that the problem is undecidable,
  - Given a Turing machine  $M$  and string  $w$ , modify  $M$  to create a new machine  $M^A$ , so that if any halting state of  $M$  is reached,  $M^A$  accepts all input
  - Have  $M^A$  generate  $w$  on an unused portion of its tape and perform the same computations as  $M$ , so that
    - if  $M$  halts in any configuration, then  $M^A$  halts in a final state, and
    - if  $M$  does not halt, then  $M^A$  will not halt either
  - As a result,  $M^A$  either accepts  $\emptyset$  or the infinite language  $\Sigma^*$
  - Assuming there is an algorithm  $A$  for deciding whether or not  $L(M)$  is finite, we could apply it to  $M^A$ , which would give us a solution to the halting problem
  - But this contradicts previous results that have established that the halting problem is undecidable

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### The Undecidability of the “L(M) is Finite” Problem

- Figure 12.6 illustrates the process used to establish the result that the “L(M) is finite” question is undecidable
- After an algorithm generates  $M^A$ , the presumed finiteness algorithm A would be applied to  $M^A$ , resulting in a solution to the halting problem, which is impossible

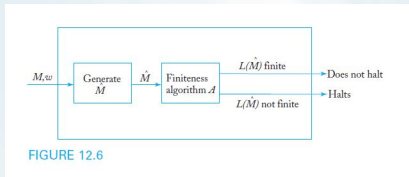


FIGURE 12.6

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### The Post Correspondence Problem

- Given two sequences of n strings on some alphabet  $\Sigma$ , for instance  
 $A = w_1, w_2, \dots, w_n$  and  $B = v_1, v_2, \dots, v_n$   
 there is a Post correspondence solution (PC solution) for the pair (A, B) if there is a nonempty sequence of integers  $i, j, \dots, k$ , such that  $w_i w_j \dots w_k = v_i v_j \dots v_k$
- As shown in Example 12.5, assume A and B consist of  
 $w_1 = 11, w_2 = 100, w_3 = 111$  and  $v_1 = 111, v_2 = 001, v_3 = 11$   
 A PC solution for this instance of (A, B) exists, as shown below

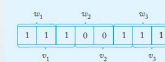


FIGURE 12.7

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### The Undecidability of the Post Correspondence Problem

- The Post correspondence problem is to devise an algorithm that determines, for any (A, B) pair, whether or not there exists a PC solution
- For example, there is no PC solution if A and B consist of  
 $w_1 = 00, w_2 = 001, w_3 = 1000$  and  $v_1 = 0, v_2 = 11, v_3 = 011$
- Theorem 12.7 states that there is no algorithm to decide if a solution sequence exists under all circumstances, so the Post correspondence problem is undecidable
- Although a proof of theorem 12.7 is quite lengthy, this very important result is crucial for showing the undecidability of various problems involving context-free languages

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### Undecidable Problems for Context-Free Languages

- The Post correspondence problem is a convenient tool to study some questions involving context-free languages
- The following questions, among others, can be shown to be undecidable
  - Given an arbitrary context-free grammar G, is G ambiguous?
  - Given arbitrary context-free grammars  $G_1$  and  $G_2$ , is  $L(G_1) \cap L(G_2) = \emptyset$ ?
  - Given arbitrary context-free grammars  $G_1$  and  $G_2$ , is  $L(G_1) = L(G_2)$ ?
  - Given arbitrary context-free grammars  $G_1$  and  $G_2$ , is  $L(G_1) \subseteq L(G_2)$ ?

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